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The Journal of Adhesion

Publication details, including instructions for authors and subscription information: http://www.informaworld.com/smpp/title~content=t713453635

Comment on "Sodium Hydroxide Anodization of Ti-6AI-4V Adherends", {J. Adhesion 20,283 (1987)}

H. M. Clearfield^a; G. D. Davis^a ^a Martin Marietta Laboratories, Baltimore, Maryland, U.S.A.

To cite this Article Clearfield, H. M. and Davis, G. D.(1987) 'Comment on "Sodium Hydroxide Anodization of Ti-6AI-4V Adherends", {J. Adhesion 20,283 (1987)}', The Journal of Adhesion, 24: 2, 221 — 225 To link to this Article: DOI: 10.1080/00218468708075428 URL: http://dx.doi.org/10.1080/00218468708075428

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Comment on "Sodium Hydroxide Anodization of Ti-6AI-4V Adherends," {J. Adhesion **20**, 283 (1987)}

H. M. CLEARFIELD and G. D. DAVIS

Martin Marietta Laboratories 1450 South Rolling Road Baltimore, Maryland 21227 U.S.A.

(Received April 20, 1987; in final form May 22, 1987)

The recent article "Sodium Hydroxide Anodization of Ti-6A1-4V Adherends" by Filbey, Wightman and Progar¹ is commendable in that a wide variety of analytical techniques has been used to study the surface preparation first reported by Kennedy, Kohler and Poole.² We too have conducted in-depth studies of surface preparations for Ti-6A1-4V adherends with recent emphasis on chromic acid and sodium hydroxide anodization (CAA and SHA, respectively). Our initial results were in agreement with those presented by Filbey *et al.* (hereafter "the authors") regarding surface composition and oxide sputter-etching efficiency. However, the results of more detailed work have shown that these observations (and the subsequent conclusions) may be influenced by instrumentation effects. We wish to highlight these briefly.

We have studied both the SHA and the CAA oxides using high-resolution X-ray photoelectron spectroscopy (XPS). To determine the oxide stoichiometry, we used sensitivity factors derived individually from high-resolution spectra of unsputtered anatase and rutile powders—both phases were verified separately by X-ray diffraction. (In both powders, less than 3% of the oxygen present at the surface could be associated with adventitious carbon.) The



FIGURE 1 High-resolution XPS spectra of the Ti 2p photoelectron states as a function of depth through the SHA oxide. Each scan represents ~ 10 nm removed by sputter etching. The surface is at the front.

sensitivity factors attained in this manner were subsequently confirmed by high-resolution spectra of TiO powder. Using these, we obtained an O/Ti ratio of 2.0 ± 0.1 for several as-anodized samples of both SHA and CAA oxides, indicative of stoichiometric TiO₂.

The importance of using unsputtered TiO₂ standards to determine the sensitivity factors must be stressed because Ti-oxide powders³ and Ti-adherend oxides⁴ are sputter reduced by energetic Ar⁺ ions under typical experimental conditions. This is demonstrated in Figure 1,⁴ a series of high-resolution spectra of the Ti 2p bound state recorded as a function of depth through an SHA oxide. Each scan corresponds to $\sim 10 \text{ nm}$ removed. The surface spectrum (before ion bombardment) consists of a single oxidation state that we assign to TiO_2 . As the SHA oxide is sputter etched for the first 70 nm, it becomes difficult to distinguish any oxidation state of Ti-the photoelectron distribution is essentially a "window" \sim 13 eV wide. (We observed a similar sputter-induced reduction of TiO_2 powder.) After 90 nm is removed, a single, distinguishable bound state emerges from this window. This state is 4.9 eV below that observed at the surface and corresponds to metallic Ti (see below). Therefore, we conclude again that our SHA oxide is TiO₂, and note that it is reduced readily by energetic ions and, possibly, by electrons.^{5,6}

	,
Oxidation state	Binding energy (eV)
Ti (elemental)	454.0
TiN	455.7
TiO	455.0
TiO ₂	458.7
-	

 TABLE I

 Binding energy of the Ti 2p_{3/2} photoelectron as a function of oxidation state. from Ref. 7

The authors' high-resolution XPS binding energy results, which are not discussed in the text but are listed in Table I (p. 289), are in agreement with ours. In their table, the Ti $2p_{3/2}$ binding energy is given as 458.3–458.8 eV (referred to the C 1s bound state at 285.0 eV). Based on literature values⁷ of binding energies for Ti $2p_{3/2}$ electrons in various oxidation states, which are given in our Table I, and referenced to the authors' C 1s level, the $2p_{3/2}$ photoelectron for TiO would occur at 455.0 eV and that for TiO₂ at 458.7 eV. Thus, the authors should have assigned the TiO₂ oxidation state to the Ti $2p_{3/2}$ transition reported in their Table I.

The assignment of TiO_2 would also be in better agreement with the authors' reported surface compositions. In their Table I, the O/Ti ratio ranges from 2.7–5.4, but is calculated from the listed atomic concentrations to be 4.1–10.6. In all cases, the O/Ti ratio is too high; some oxygen is likely to be associated with contamination, but it cannot explain all of the excess. Although large variations in intensity ratios have been reported for large-scale round robins,⁸ results from a single research group should be internally consistent to within 50%, even from uncalibrated instruments. Neither these discrepancies nor the differences between measurements taken with the different instruments are explained.

The authors' use of Auger electron spectroscopy (AES) to determine the oxidation state of Ti should be equally as valid as XPS, but AES has less sensitivity to mixtures of chemical states³ such as an SHA (or any TiO₂) surface that has been sputter etched (our Figure 1). XPS measurements preclude the assignment of a distinct chemical state for Ti in the sputter-etched oxide. Auger lineshape measurements of the Ti LMM and LMV transitions made on CAA oxides that were sputter etched for up to six-minute intervals were indicative of Ti₂O₃ and/or TiO.³ Such an assignment

is likely to be an averaged stoichiometry, however. If such a single chemical state did exist in the sputter-etched oxide, high-resolution XPS measurements would have detected it.

The authors' statement that the SHA oxide cannot realistically be 20 times thicker than the CAA oxide is indeed valid. However, we can see no reason why an SHA oxide would sputter etch with less efficiency than a CAA oxide. As can be seen in our Figure 1, metallic Ti can be detected after 90 nm of oxide has been removed even though the "window" due to sputter-induced reduction persists. This is strictly an instrumental effect due to the ion gun/electron analyzer geometry,⁴ i.e., in some areas the ion beam is shadowed due to the large, mountainous features created during anodization (see authors' Figure 1). Because the ion gun and electron analyzer are not coaxial, the analyzer samples uneroded areas, giving rise to an apparent oxide signal. A rough estimate of the oxide thickness can be obtained from Figure 1 by noting the depth at which the Ti metallic state first appears. However, a better method is to use scanning Auger microscopy (SAM) to profile the relatively large, flat regions between the "mountains." In this case, the sample can be tilted to expose the flat areas to the ion beam and the incident electron beam can be focussed precisely enough to illuminate only those areas. We have done this for the flat areas of several SHA oxides and the oxide thickness values we obtain, *i.e.*, 80–90 nm, are in good agreement with that derived from Figure 1.⁴

Our intent in writing this comment has been to strengthen some of the conclusions and to resolve (in what we believe to be the most timely fashion) some of the issues raised by Filbey *et al.* We intend to address these and other issues in more detail at a later date.⁴

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